

# ASPECTS OF B-REGULARITY

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## DEFINITION

A compact set  $K \subseteq \mathbb{C}^n$  is called **B-regular** (short for Bremermann regular) if every continuous function on  $K$  can be approximated uniformly on  $K$  by functions which are continuous and plurisubharmonic on some (varying) neighborhoods of  $K$ .

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- for each  $z \in K$  the Dirac delta  $\delta_z$  is the only probability measure  $\mu$  (called Jensen measure) such that

$$u(z) \leq \int_K u d\mu$$

for all  $u$  which are continuous and plurisubharmonic in some neighborhood of  $K$  (i.e.,  $K$  is equal to its Jensen boundary, a notion from uniform algebras). (Sibony'87)

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- For each  $M > 0$  there exists a smooth ( $C^\infty$ ) function  $u$  which is plurisubharmonic on some neighborhood of  $K$  such that  $0 < u < 1$  and  $dd^c u \geq M dd^c \|z\|^2$  (Sibony'87).

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- Every upper semicontinuous function on  $K$  can be approximated by a sequence of continuous plurisubharmonic functions defined on (varying) neighborhoods of  $K$  that is decreasing on  $K$ . (Poletsky'96, Czyż-Hed-Persson'12, D-D direct proof)

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- Any compact set of vanishing two dimensional Hausdorff measure. This is because it is rationally convex, and every continuous complex valued function on  $K$  can be approximated uniformly on  $K$  by rational functions with poles off  $K$  (a generalization of the Hartogs-Rosenthal theorem, e.g. book of Stout). Again take the real parts.

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- Totally disconnected sets. This is because they are holomorphically convex in the sense presented in the book of Stout, so every complex valued continuous function on such a set can be uniformly approximated by holomorphic functions defined on varying neighborhoods.

## Non- Examples

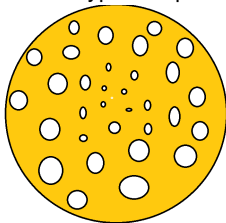
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- More generally, any compact set containing an analytic disc. (In particular, 2 is the threshold of Hausdorff dimension up to which vanishing measure implies B-regularity.)
- Some "fat enough" Swiss-cheese type compact sets in the complex plane.





We collect some of the properties of B-regular compact sets:

- If  $K_j$  are compact B-regular and  $\bigcup_{j=1}^{\infty} K_j = K$  is compact then  $K$  is B-regular.

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- The intersection of a B-regular compact set with lower dimensional affine complex subspace is again B-regular in the corresponding subspace.
- B-regularity can not be explained by sections (slices). For example, the analytic disk  $\overline{\mathbb{D}(0, 1)} \ni z \rightarrow (z, z^2) \in \mathbb{C}^2$  is not B-regular, whereas the intersection with any complex line has at most two points, and hence is B-regular.

## Behavior under mappings

- Very simple transformations can destroy the B-regularity. For example  $\mathbb{C}^2 \ni (z, w) \rightarrow z + w \in \mathbb{C}$  takes the compact B-regular  $(\mathcal{C} + i\mathcal{C}) \times (\mathcal{C} + i\mathcal{C})$  to  $[0, 2] + i[0, 2]$ , where  $\mathcal{C}$  is the ternary Cantor set.

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- If  $X \subseteq \mathbb{C}^n$  and  $Y \subseteq \mathbb{C}^m$  are compact subsets, and  $\varphi : X \rightarrow Y$  is a continuous mapping such that all its components are uniformly approximable on  $X$  by holomorphic functions on neighborhoods. If  $Y$  is B-regular and for any  $y \in Y$  one has that  $\varphi^{-1}(y)$  is B-regular then  $X$  is B-regular.

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- Let  $X \subseteq \mathbb{C}^n$  and  $Y \subseteq \mathbb{C}^m$  be compact subsets, and  $\varphi : X \rightarrow Y$  be a continuous mapping that extends to a proper holomorphic mapping between neighborhoods of  $X$  and  $Y$ ,  $X \subseteq \Omega$ ,  $Y \subseteq U$ . If  $X$  is B-regular then  $Y$  is B-regular.

We can not relax the condition that  $\varphi$  has a holomorphic extension to a proper mapping between neighborhoods by assuming that  $\varphi$  is a mapping that can be approximated even by holomorphic automorphisms uniformly on  $X$  as the mapping

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can be uniformly approximated on  $\overline{\mathbb{D}(0, 2)} \times \overline{\mathbb{D}(0, 2)} \cong (\mathcal{C} + i\mathcal{C}) \times (\mathcal{C} + i\mathcal{C})$  by the automorphisms

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A characterization of B-regularity in  $\mathbb{C}^1$  is possible with the use of the so-called **fine topology**:

A compact  $K \subseteq \mathbb{C}^1$  is B-regular if and only if  $K$  has empty interior in the fine topology, established in Sibony'87.

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- The disks are "evenly distributed" so that  $K$  has empty interior.
- The disks with fixed  $j$  are situated within a specified annulus centered at 0,  $\overline{\mathbb{D}(z_{j,k}, r_{j,k})} \subseteq \left\{ z \in \mathbb{C} : \frac{1}{2^j} < |z| < \frac{1}{2^{j-1}} \right\}$ . This yields the restriction  $\sum_{k=1}^{\infty} r_{j,k}^2 < \frac{1}{2^{2(j-1)}} - \frac{1}{2^{2j}}$ .
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Choosing  $r_{j,k} = \frac{1}{2^{2^k(j+1)^3-1}}$  is good (The idea is to make 0 a thin boundary point of  $\mathbb{D}(0, 1) \setminus K$ , so it belongs to the fine interior of  $K$ )

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D-D: no with example (complicated, uses finely holomorphic functions that can not be extended to holomorphic ones).

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D-D: It is not true that any continuous function on a B-regular compact set  $K$  can be approximated uniformly on  $K$  by functions pluriharmonic on (varying) neighborhoods of  $K$ .

**Sketch** Consider the set  $K = \partial B(0, 1) \cup L$  where  $L$  is the closed linear segment joining the origin with the point  $(1, 0, \dots, 0) \in \partial B(0, 1)$ . The set  $K$  is clearly B-regular, yet the function  $u(z) = 1 - \|z\|$  can not be approximated uniformly on  $K$  by pluriharmonic functions, since any pluriharmonic function on a neighborhood of  $K$  would extend to a pluriharmonic function on the ball  $B(0, 1)$ . By uniqueness, the extension is close to 1 near the origin and close to 0 near  $\partial B(0, 1)$ . This this violates the maximum principle.

## Approximation vs. extension

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There are at least two issues here:

- the sets  $\Omega_k$  where the approximating functions  $u_k$  are plurisubharmonic can vary in such a way that  $\bigcap_{k=1}^{\infty} \Omega_k = K$ , and hence there is no "domain" on which the approximating sequence can converge to something plurisubharmonic.

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- even if  $K \subseteq \Omega = \text{int} \bigcap_{k=1}^{\infty} \Omega_k$  then it may happen that  $u_k$  converges to  $u$  only on  $K$  and diverges elsewhere on  $\Omega$ . For example the sequence  $u_k(z) = k \ln |z + \sqrt{z^2 - 1}| - \frac{1}{k}$  consists of global subharmonic functions but converges uniformly to  $u = 0$  only on the interval  $[-1, 1]$  and diverges elsewhere.

Let  $X$  be the set  $[-1, 1] \times \{0\} \cup \{0\} \times [-1, 1]$ .

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Whatever the proposed subharmonic extension of  $-|z|^2$ , which we call  $u$ , to some  $\Omega \supseteq X$  is its partial derivatives depend only on the values on the axes, so  $u_x|_{(-1,1) \times 0} = (-x^2 - y^2)|_{(-1,1) \times 0} = -2x$ ,  $u_y|_{\{0\} \times (-1,1)} = -2y$  and hence  $u_{xx}(0, 0) + u_{yy}(0, 0) = -4$  which is a contradiction.

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the conformal map from  $\mathbb{C} \setminus \mathbb{D}$  to  $\mathbb{C} \setminus X$  (main branch is used). These can not, however, be subharmonic „through”  $X$ .

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On the other hand if  $X' = [-1, 1] \times \{0\}$  then the same function allows subharmonic extension. The subharmonic function  $u(x, y) = 2y^2 - x^2$  agrees with  $-|z|^2$  on  $X'$ .

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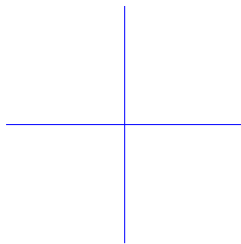
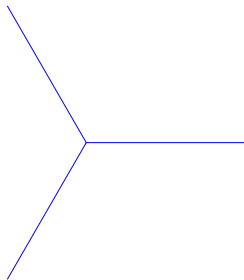
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On the other hand, we have D-D: If  $-\|z\|^2$  can be extended then any  $C^{1,1}$  function on  $X$  (a trace of a  $C^{1,1}$  function on a neighborhood) can be extended.



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